



Atty. Dkt. No. 040849-0143

#10  
R. L. Lusk  
1/28/2003

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Edward B. STOKES et al.  
Title: Solid State Illumination System  
Containing A Light Emitting  
Diode, A Light Scattering  
Material And A Luminescent  
Material  
Appl. No.: 09/642,655  
Filing Date: 08/22/2000  
Examiner: Glenn Zimmerman  
Art Unit: 2879

**TERMINAL DISCLAIMER  
APPROVED**

**JAN 28 2003**

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**OFFICE OF THE SPECIAL  
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**TERMINAL DISCLAIMER**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Your Petitioner, GENERAL ELECTRIC COMPANY, having its principal place of business at P.O. Box 8, Schenectady, New York 12301 hereinafter represents that it is the owner of the entire right, title, and interest in and to U.S. Patent Application Serial No. 09/642,655, filed 08/22/2000, which is a continuation-in-part of U.S. Patent Application No. 09/203,206, filed November 30, 1998, by virtue of an Assignment filed and recorded on 01/09/2001, on Reel/Frame 011416/0239, in the United States Patent and Trademark Office, a copy of which is attached hereto as APPENDIX A. Further, your Petitioner represents that it is the owner of U.S. Patent No. 6,294,800, which issued on U.S. Patent Application No. 09/203,214, filed

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November 30, 1998, by virtue of an Assignment filed and recorded on March 1, 1999, on Reel/Frame 9802/0674, in the United States Patent and Trademark Office, a copy of which is attached hereto as APPENDIX B.

Your Petitioner, GENERAL ELECTRIC COMPANY, hereby disclaims the terminal part of the term of any patent granted on the above identified patent application which would extend beyond the full statutory term, as shortened by any terminal disclaimer, of U.S. Patent 6,294,800, and hereby agrees that any patent so granted on the above identified patent application shall be enforceable only for and during such period that the legal title to U.S. Patent 6,294,800 shall be the same as the legal title to any patent granted on the above identified patent application, this agreement to run with any patent granted on the above identified patent application and to be binding upon the grantee, its successors or assigns.

In making the above disclaimer, Petitioner does not disclaim any terminal part of any patent granted on the above identified patent application, prior to the full statutory term of U.S. Patent 6,294,800 as defined in 35 U.S.C. §§154-156 and 173, in the event that U.S. Patent 6,294,800 expires for failure to pay a maintenance fee, is held unenforceable or is found invalid in a final judgment by a court of competent jurisdiction, is statutorily disclaimed in whole or terminally disclaimed under 37 CFR §1.321(a), has all claims canceled by a reexamination certificate or as a result of an interference proceeding, or is otherwise not deemed to provide the rights conveyed by 35 USC §154, prior to the full statutory term of U.S. Patent 6,294,800 as defined in 35 USC §§154-156 and 173, except for the separation of legal title stated above. Further, Petitioner does not disclaim any terminal part of a patent granted on the above identified patent application that would extend beyond the present termination of U.S. Patent 6,294,800, in the event that such present term is extended by virtue of.

compliance with the conditions for term extension of any present or future patent term extension provisions of the patent law, including but not limited to 35 U.S.C. §§155, 155A or 156, and without waiving Petitioner's right to extend the term of a patent granted on the above identified patent application to the extent provided by law.

The undersigned, being the Attorney of Record for the above identified patent application, and duly authorized to act on behalf of Petitioner, certifies that he has reviewed the Assignments attached as APPENDICES A and B, and to the best of his knowledge and belief, legal title to the above identified patent application and U.S. Patent 6,294,800 rests with Petitioner, GENERAL ELECTRIC. The undersigned declares that all statements made herein of their own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the above-identified application or any patent issuing therefrom.

RENEE PRESTON *R. Preston*  
PARALEGAL SPECIALIST  
TECHNOLOGY CENTER 2800

Respectfully submitted,

Date 17 January 2003

By George E. Quillin 32,792

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22428

PATENT TRADEMARK OFFICE

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